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|   |                          |            | N FOR UTILITY OR                            | Attomey Docket<br>Number  | 11321-P081WOUS  |
|   |                          |            | ESIGN                                       | First Named Inventor  | Valery N. Khabashesku   |
|   | PA                       |            | APPLICATION<br>CFR 1.63)                    | COM   | IPLETE IF KNOWN   |
|   |                          | (0, (      | 51 K 1.05)                                  | Application Number  | 10/585,591  |
|   | Declaration<br>Submitted | OR         | ✓ Declaration Submitted after Initial       | Filing Date   | July 11, 2006   |
|   | With Initial<br>Filing   |            | Filing (surcharge<br>(37 CFR 1.16 (f))      | Art Unit  | Unknown   |
|   |                          |            | required)                                   | Examiner Name   | N/Y/A   |

| I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patient is sought on the invention entitled: |  |  |  |  |  |  |  |
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| NANOTUBE - AMINO ACIDS AND METHOD FOR PREPARING SAME  |  |  |  |  |  |  |  |
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| ls attached hereto  |  |  |  |  |  |  |  |
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| was filed on (MM/DD/YYYY) 01/18/2005  | as United States Application Number or PCT International       |  |  |  |  |  |  |
| Application Number PCT/US05/01310 and was amended of  | on (MM/DD/YYYY) (if applicable).                               |  |  |  |  |  |  |
| I hereby state that I have reviewed and understand the contents of amended by any amendment specifically referred to above.   | f the above identified specification, including the claims, as |  |  |  |  |  |  |
| I acknowledge the duty to disclose Information which is materia   | I to patentability as defined in 37 CFR 1.56. including for    |  |  |  |  |  |  |

I acknowledge the duty to disclose Information which is material to patentability as defined In 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

## Authorization To Permit Access To Application by Participating Offices

If checked, the undersigned hereby greats the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filled access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the application does not wish the EPO, JPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filled to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified application, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filled in the above-identified US application, and 3) any U.S. application from which benefit is sought in the above-identified application.

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#### [Page 1 of 3]

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## **DECLARATION** — Utility or Design Patent Application

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| Prior Foreign Application | 0       | Foreign Fillng Date | Priority    |       | ppy Attached? |
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Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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Texas Additional inventors or a legal representative are being named on the

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| Given Name (first and middle (if  |   |                                       | petition has been tied to         | i, mis numbu  | ed inventor |
| Heiging   | engli   | Peng                                  | Name or Sumeme                    |               |             |
| China C   | -   | lveng                                 |                                   | 2             |             |
| inventors Haripit Par<br>Signature  | <i>f</i>  |                                       |                                   | Det           | 04/22/08    |
| Sugar Land<br>Residence: City   | Taxon   |                                       | us                                | CN            |             |
| 3411 Kimosa Way   | State   |                                       | Country                           |               | zenship     |
| Mailing Address<br>Sugar Land<br>City   | Texas<br>State  |                                       | 77479<br>Zio                      | US            |             |
| Name of Additional Joint Inventor, if   | any:  |                                       | position has been filed to        |               | sniry .     |
| Given Name (first and middle (if.   | P(1)))  |                                       |                                   | no or Sumen   |             |
| lary Lou  | ,   |                                       |                                   |               |             |
| inventor's<br>Standure  |   | Implime                               | A agai Representative for Jo      | M L. Margasia | , Deceased) |
|   |   |                                       |                                   | Date          | ė.          |
| Rosidence: City   | Texas   |                                       | us                                |               | us          |
| 511 Verme Street  | State   |                                       | Country                           |               | Citizenship |
| Milling Address   |   |                                       |                                   |               |             |
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| City  | State   |                                       | 77401<br>Zio                      | US            |             |
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| i ,   |   | ***                                   | Family Name                       | or Sumane     | ·           |
| nvestore<br>Signature   | :   | · · · · · · · · · · · · · · · · · · · |                                   | Date          |             |
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| Name of Additional Joint Inventor, if an                       |                     | A petition has been filed for this unsigned inventor |                         |               |   |
| Given Name (first and middle (if any) Heiging                  | <u> </u>            | Family Name or Surname                               |                         |               |   |
| Halqing  |                     | Peng   |                         |               |   |
| Inventor's<br>Signature  |                     |  |                         | Date          |   |
| Houston  | TX                  | TO   |                         | CN            |   |
| Residence: City  | State               |  | ountry                  |               | enship  |
| 4045 Linkwood, No. 813  Mailling Address                       |                     |  |                         |               |   |
| Houston  | ΤX                  |  | 77025                   | 1             |   |
| City   | State               |  | 77025<br>Zip            | Cou           | ntrv  |
| Name of Additional Joint Inventor, if an                       | y:                  | A pelitio  | n has been filed for t  |               |   |
| Given Name (first and middle (if any)                          | )                   |  | Family Name             |               |   |
| John L.  |                     | Margrave, Decea                                      | sed                     |               |   |
| Inventor's<br>Signature  |                     | -t   |                         | Date          |   |
| Belleire   | TX                  |  | 74.00                   | 10            | us  |
| Residence; City  | State               |  | Country                 |               | OS<br>Citizenship                             |
| 4511 Verone  |                     |  | County                  |               | Cipzensinp                                    |
| Mailing Address  |                     |  |                         |               |   |
| Bellaire   | TX                  |  | 1                       | -             |   |
| City   | State               |  | 77401<br>Zlp            | Cour          | alme  |
| Name of Additional Joint Inventor, if any                      | r:                  | A petition   | n has been filed for th |               |   |
| Given Name (first and middle (if any))                         |                     |  | Family Name             | or Surname    |   |
| Mary Lou   |                     | Margrave - Legal R                                   | epresentative for John  | Margrave,     | Deceased                                      |
| Inventor's Mary Low Margra                                     | ere_                |  |                         | Date          | 12-12-08                                      |
| Settaine   | TX                  |  | # USA                   |               | us  |
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# DESIGN PATENT APPLICATION

(37 CFR 1.63) Declaration

Submitted With Initial Filing

Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (ft) required)

| Number               | 11321-P081WOUS        | ,   |
|----------------------|-----------------------|-----|
| First Named Inventor | Valery N. Khabashesku |     |
| COI                  | MPLETE IF KNOWN       | 4-1 |
| Application Number   | 10/585,591            |     |
| Filing Date          | July 11, 2006         |     |
| Art Unit             | Unknown               |     |
| Examiner Name        | N/Y/A                 |     |

hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### NANOTUBE - AMINO ACIDS AND METHOD FOR PREPARING SAME (Title of the Invention) the specification of which is attached hereto OR 1 was filed on (MM/DD/YYYY) 01/18/2005 as United States Application Number or PCT International Application Number PCT/US05/01310 and was amended on (MM/DD/YYYY) (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose Information which is material to patentability as defined in 37 CFR 1.56, Including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

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| Prior Foreign Application<br>Number(s) | Country | Foreign Filing Date<br>(MM/DD/YYYY) | Priority<br>Not Claimed | Certified Co | opy Attached? |
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| Name of Additional Joint In              | ventor, if any:                      | П        | A neither has been been  |   |  |  |
| Given Name (first an                     |                                      |          | A partition has been been first uneigned inventor Family Name or Surreme |   |  |  |
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| Inventore Historiet                      | P. A                                 | Peng     |  | :   |  |  |
| Inventor's Harigary<br>Standard Harigary | ray                                  |          |  | Date 04/22/08   |  |  |
| Sugar Land                               | Toxas                                |          | us   |   |  |  |
| Residence: City<br>3411 Kimosa Way       | State                                |          | Country  | CN<br>Citizenship   |  |  |
| Mailing Address                          |                                      |          | i  |   |  |  |
| City                                     | Texas<br>State                       |          | 77479  | US  |  |  |
| Name of Salaria                          |                                      |          | . 239  | Country   |  |  |
| Name of Additional Joint In              |                                      |          | polition has been filed to   | r this unsigned inventor  |  |  |
| Given Name (first and                    | middle (if arry))                    |          | Family Nac   | ns or Sumame  |  |  |
| VaryLon                                  |                                      | Margraya | (Legal Papeagerialism for Jo   | at Marian Birman  |  |  |
| Inventor's<br>Signature                  | 1                                    |          |  |   |  |  |
| Bollaire                                 | Texas                                |          |  | Date  |  |  |
| Residence: City                          | State                                |          | US   | US.   |  |  |
| IS11 Varyno Sanet                        |                                      |          | Country  | Citizenship   |  |  |
| Mailing Address                          |                                      |          |  |   |  |  |
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| CRY                                      | State                                |          | 77401<br>Zio   | Country   |  |  |
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| Name of Additional Joint Inventor, if a                     | my:                 | ☐ Ape                 | eliion has been filed f                                     | or this unsigne  | d Inventor               |  |
| Given Name (first and middle (if ar                         | (y))                | Family Name or Sumame |   |                  |                          |  |
| Halqing   |                     | Peng                  |   |                  |                          |  |
| inventor's<br>Signature                                     |                     |                       |   | Date             | )                        |  |
| Houston<br>Residence: City                                  | TX<br>State         |                       | TX  | CN               |                          |  |
| 4045 Linkwood, No. 813                                      | State               |                       | Country   | Citiz            | enship                   |  |
| Malling Address   |                     |                       |   |                  |                          |  |
| Houston   | TX                  |                       | 77025   | us               |                          |  |
| City  | State               |                       | Zip   | Cou              | ntry                     |  |
| Name of Additional Joint Inventor, if a                     | ny:                 | ☐ Ape                 | Ution has been filed fo                                     | or this unsigne  | d Inventor               |  |
| Given Name (first and middle (if an                         | y))                 |                       | Femily Ne   | me or Surname    | 9                        |  |
| John L.   |                     | Margrave, De          | ceased  |                  |                          |  |
| Inventor's<br>Signature                                     |                     |                       |   | Date             |                          |  |
| Bellaire  | TX                  |                       | BE USA  | 1 000            | us                       |  |
| Residence: City   | State               |                       | Country   |                  | Citizenship              |  |
| 4511 Verone Mailing Address                                 |                     |                       |   |                  | 1                        |  |
| Bellaire  | TX                  |                       | 77401   | us               |                          |  |
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| Mary Lou  |                     | Margrave - Leg        | al Representative for Joi                                   | n L. Margrave, I | Deceased                 |  |
| inventor's Mary Low Margh                                   | are                 |                       |   | Date             | 12-12-0                  |  |
| Bellaire<br>Residence: City                                 | TX<br>State         |                       | EX USA  |                  | us                       |  |
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Doc Code: OATH PTO/SB/01 (02-08) Approved for use through 06/30/2010, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Attorney Docket DECLARATION FOR UTILITY OR 11321-P081WOLLS Number First Named Inventor DESIGN Valery N. Khabashesku PATENT APPLICATION COMPLETE IE KNOWN (37 CFR 1.63) Application Number 10/585 591 Declaration Declaration Filing Date July 11, 2006 Submitted Submitted after Initial With Initial Art Unit Filing (surcharge Unknown Filing (37 CFR 1.16 (f)) required) Examiner Name N/Y/A hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: NANOTUBE - AMINO ACIDS AND METHOD FOR PREPARING SAME (Title of the Invention) the specification of which is attached hereto OR 1 was filed on (MM/DD/YYYY) 01/18/2005 as United States Application Number or PCT International Application Number PCT/US05/01310 and was amended on (MM/DD/YYYY) (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the cialms, as amended by any amendment specifically referred to above. i acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. Authorization To Permit Access To Application by Participating Offices If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), and any other intellectual property offices in which a foreign application claiming priority to the aboveidentified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, or other intellectual property office in which a foreign application claming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified application, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified US application, and 3) any U.S. application from which benefit is sought in the above-identified application.

in accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

#### [Page 1 of 3]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application from the USPTO. There will vary depending upon the individual remarks on the amount of time you require to complete lists form another suggestions for reducing this burden, should be sent to the Clief information Officer, U.S. Patent and Trademark Clinico, U.S. Department of Commerce, P.O. 60x 1459, Alexandrie, V.A. 22313-1430. DO NOT SEND PEES OR COMPLETED. FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### DECLARATION - Utility or Design Patent Application

## Claim of Foreign Priority Benefits

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application<br>Number(s) | Country | Foreign Filing Date<br>(MM/DD/YYYY) | Priority<br>Not Claimed | Certified Co | opy Attached?<br>NO |
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oreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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| DECLARATION  |                |   | TONAL INVENTOR<br>nental Sheet                                  | (S)                      |  |                             |
|--|----------------|---|---|--------------------------|--|-----------------------------|
| Name of Additional Joint Inventor, if a  | nve            | П   | nelling her have said a   |                          |  |                             |
| Given Name (first and middle (if any)) Heiging   |                | A polition has been lied for this unsigned inventor  Family Name or Summe  Peng |   |                          |  |                             |
|  |                |   |   |                          |  | Inventor's Education Proces |
| Signature  | -              |   |   | Date 04/22/08            |  |                             |
| Suger Lend<br>Residence: City  | Tome           |   | us  | CN                       |  |                             |
| 3411 Mirrose Way   | State          | · · · · · · · · · · · · · · · · · · ·   | Country   | Citizenship              |  |                             |
| Mailing Address  |                |   |   |                          |  |                             |
| City   | State          |   | 77479   | us                       |  |                             |
| Name of Additional John St.  |                |   | 730   | Country                  |  |                             |
| Name of Additional Joint Inventor, if ar   | y:             | <u> </u>  | olition has been filed to                                       | r this unsigned inventor |  |                             |
| Given Name (first and middle (if any   | ))             |   |   | ne or Sumame             |  |                             |
| Mary Lan   | . Mary         |   | fargove (Legal Representative for John L. Margraire, Discessod) |                          |  |                             |
| kryentor's<br>Signature  |                |   |   |                          |  |                             |
| Bokairie   | -              |   | 100   | Date                     |  |                             |
| Residence: City  | Texas<br>State |   | US  | us.                      |  |                             |
| IS11 Verone Street   | Louis          |   | Country   | Citizenship              |  |                             |
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| Name of Additional Joint Inventor, if an   | y:             |   |   |                          |  |                             |
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| Name of Additional Joint Inventor, if a   | ny:   | ☐ Ape  | elition has been filed f                                     | or this unsigned inventor |  |
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| Houston   | тх    |  | τx   | CN                        |  |
| Residence: City<br>4045 Linkwood, No. 813   | State |  | Country  | Cilizenship               |  |
| Mailing Address   |       |  |  |                           |  |
| Houston   | тх    |  | 77025  | us                        |  |
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| Given Name (first and middle (if any))  |       | Family Name or Surname   |  |                           |  |
| John L.   |       | Margravo, De   | ceased   |                           |  |
| Inventor's<br>Signature   |       |  |  | Date                      |  |
| Boliaire  | TX    |  | BULLEA   | Us                        |  |
| Residence; City   | State |  | Country  | Citizenship               |  |
| 4511 Verone Mailing Address   |       |  |  |                           |  |
| Beliaire<br>City  | TX    |  | 77401  | us                        |  |
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| Mary Lou  |       | Margrave - Legai Representative for John L. Mergrave, Deceased |  |                           |  |
| Inventor's Mary Low Marga   | are-  |  |  | Date 12-12-0              |  |
| Bellaire  | TX    |  | * USA  | us                        |  |
| Residence: City<br>4511 Verone  | State |  | Country  | Citizenship               |  |
| Mailing Address   |       |  |  |                           |  |
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